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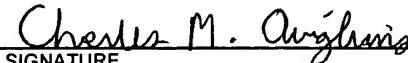
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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 21425 US C038435/0185658 |
| INTERNATIONAL APPLICATION NO. PCT/EP2003/010684 | INTERNATIONAL FILING DATE 25 September 2003 | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/528844 |
| TITLE OF INVENTION DNA ENCODING PDXR AND MICROBIAL PRODUCTION OF VITAMIN B6 | | |
| APPLICANT(S) FOR DO/EO/US Tatsuo HOSHINO, Keiko ICHIKAWA, and Masaaki TAZOE | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> | | |
| <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Certificate of Express Mailing, Return Postcard; Statement Under 37 CFR 1.821 (f)</p> | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/528844 | | INTERNATIONAL APPLICATION NO. PCT/EP2003/010684 | | ATTORNEY'S DOCKET NUMBER 21425 US C038435/0185658 | | | | | | | | | | | | | | | | | |
| <p>21. The following fees are submitted:</p> <table> <tr> <td><input checked="" type="checkbox"/> a) Basic national fee.....</td> <td>\$ 300.00</td> <td>Applicant use</td> <td>Office use only</td> </tr> <tr> <td><input checked="" type="checkbox"/> b) Examination fee.....</td> <td>\$ 200.00</td> <td></td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/> c) Search fee.....</td> <td>\$ 500.00</td> <td></td> <td></td> </tr> <tr> <td colspan="2">TOTAL OF ABOVE CALCULATIONS = \$1000.00</td> <td>\$ 1,000.00</td> <td></td> </tr> </table> <p><input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.</p> | | | | | | <input checked="" type="checkbox"/> a) Basic national fee..... | \$ 300.00 | Applicant use | Office use only | <input checked="" type="checkbox"/> b) Examination fee..... | \$ 200.00 | | | <input checked="" type="checkbox"/> c) Search fee..... | \$ 500.00 | | | TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | \$ 1,000.00 | |
| <input checked="" type="checkbox"/> a) Basic national fee..... | \$ 300.00 | Applicant use | Office use only | | | | | | | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> b) Examination fee..... | \$ 200.00 | | | | | | | | | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> c) Search fee..... | \$ 500.00 | | | | | | | | | | | | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | \$ 1,000.00 | | | | | | | | | | | | | | | | | | | |
| Total Sheets | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | | | | | | | | | | | | | | | | | |
| 20 - 100 = 0 | /50 = 0 | | x \$250.00 | \$ 0.00 | | | | | | | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | | | | | | | | | | | | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | | | | | | | | | | | | | | | | | |
| Total claims | 3 - 20 = 0 | | x \$50.00 | \$ 0.00 | | | | | | | | | | | | | | | | | |
| Independent claims | 1 - 3 = 0 | | x \$200.00 | \$ 0.00 | | | | | | | | | | | | | | | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360.00 | \$ 0.00 | | | | | | | | | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = \$ 1,000.00 | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL = \$ 1,000.00 | | | | | | | | | | | | | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | | | | | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = \$ 1,000.00 | | | | | | | | | | | | | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | | | | | | | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = \$ 1,000.00 | | | | | | | | | | | | | | | | | | | | | |
| <table> <tr> <td>Amount to be refunded:</td> <td rowspan="2">\$</td> </tr> <tr> <td>Amount to be charged</td> </tr> </table> | | | | | | Amount to be refunded: | \$ | Amount to be charged | | | | | | | | | | | | | |
| Amount to be refunded: | \$ | | | | | | | | | | | | | | | | | | | | |
| Amount to be charged | | | | | | | | | | | | | | | | | | | | | |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,000.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4467</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> | | | | | | | | | | | | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | | | | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | | | | | | | | | | | | | | |
| Stephen M. Haracz, Esq. | | | | | | | | | | | | | | | | | | | | | |
| BRYAN CAVE LLP | | | | | | | | | | | | | | | | | | | | | |
| 1290 Avenue of the Americas | | | | | | | | | | | | | | | | | | | | | |
| New York, New York 10104 | | | | | | | | | | | | | | | | | | | | | |
| Phone: (212) 541-2000 | | | | | | | | | | | | | | | | | | | | | |
| Fax: (212) 541-4630 | | | | | | | | | | | | | | | | | | | | | |
|  SIGNATURE Charles M. Avigliano NAME 52,578 REGISTRATION NUMBER | | | | | | | | | | | | | | | | | | | | | |

10/528844

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Docket No. 21425 US C038435/0185658

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS
DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT
COOPERATION TREATY CONCERNING A FILING UNDER 35 U.S.C. § 371

In re Application of:)
Tatsuo HOSHINO et al.,) Examiner: Not Yet Assigned
Based on Int'l Application No.: PCT/EP2003/010684) Art Unit: Not Yet Assigned
International Filing Date: 25 September 2003)
For: DNA ENCODING PDXR AND MICROBIAL)
PRODUCTION OF VITAMIN B₆

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No.: ER 953583594 US
Date of Deposit: March 23, 2005

I hereby certify that the following:

- [x] Certificate of Express Mailing (1 pg)
- [x] Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. § 371 (2 pp) in duplicate
- [x] Amendments to the Claims (1p)
- [x] Information Disclosure Statement with PTO 1440 (4 pp, incl. duplicate page 2) and copies of documents
- [x] Preliminary Amendment (5 pp, incl. duplicate page 1)
- [x] Statement Under 37 CFR § 1.821 (1 p) with Exhibits A and B
 - Exhibit A: Sequence Listing (3 pp)
 - Exhibit B: Computer-Readable Form of the Sequence Listing (1 diskette)
- [x] Check for \$1,000.00 (National Filing Fee)
- [x] Return postcard.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the Date of Deposit indicated above in an envelope addressed to Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Marvin Chisholm
Signature of Person Making Deposit

BRYAN CAVE LLP
1290 Avenue of the Americas
New York, New York 10104